JW 3621

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: April 13, 2005

Signature:

Docket No.: SONY 3.0-020

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Patent Application of:

Ludtke et al.

Application No.: 10/014,112

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: S. A.

Cangialosi

For: SYSTEM AND METHOD FOR CONDUCTING

SECURE TRANSACTION OVER A

NETWORK

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: April 13, 2005

Respectfully submitted,

Jeffrey S. Dickey

Registration No.: 35,858 LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

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CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E)(2)

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (2), undersigned counsel hereby certifies that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application, or, to the knowledge of undersigned counsel, after making reasonable inquiry, no such item of information was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

Dated: April 13, 2005

Respectfully submitted,

Jeffrey S. Dickey

Registration No.: 35,858 LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

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no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/B/PTO Application Number 10/014,112 INFORMATION DISCLOSURE Filing Date December 11, 2001 STATEMENT BY APPLICANT First Named Inventor Harold A. Ludtke Art Unit 3621 (Use as many sheets as necessary) Examiner Name S. A. Cangialosi of 1 SONY 3.0-020 Sheet 1 Attorney Docket Number

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	US-2001/00449061- A1	11-22-2001	KANEVSKY et al.		
	AD	US-5,280,527	01/1994	Gullman et al.		
	AU	US-6,167,517	12-26-2000	Gilchrist et al.		
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	AW	US-6,270,011-B1	08-07-2001	Gottfried		
	AZ	US-6,615,264-B1	09-02-2003	Stoltz et al.		
	AA1	US-6,662,166-B2	12-09-2003	Pare, Jr. et al.		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

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NON PATENT LITERATURE DOCUMENTS				
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